



SIMON QUICK

ADVISORS

Put the power of wealth management in your hands.

It's easy for advisors to promise stellar client service and close attention to your investment and planning needs. **At Simon Quick, we turn words into action.**



About Us

Listed as a Barron's Top 100 RIA Firm (2023–2024)*

We have

\$8.4B

in assets under advisement and \$6.6B in assets under management.

Over

10%

of our assets under management are employee / family-owned.

We serve

596

client households.

Our typical wealth management client assets range from

\$5M to \$50M

Our typical family office client assets range from

\$50M to \$500M

Our minimum investable assets

\$2M

All information listed above is as of 12/31/24.

*Simon Quick Advisors, LLC was recently named by Barron's as one of 2024's Top 100 RIA Firms. Advisors who wish to be ranked must first pass a prequalification process aimed at ensuring that those in the mix are experienced and sophisticated advisors. Those who meet the criteria then fill out a survey with more than 100 questions about their practices. Barron's verifies that data with the advisors' firms and with regulatory databases and then they apply their rankings formula to the data to generate a ranking. The formula features three major categories of calculations: (1) Assets (2) Revenue (3) Quality of practice. In each of those categories they do multiple subcalculations. The ranking is based on data provided as of March 31, 2024, with the listing released as of September 2024. When Simon Quick Advisors, LLC receives recognition or an award from unaffiliated rating services, it compensates the rating service for the use of branded logos in its sales and marketing materials, including email signatures.

About Us

We have a

1:30

advisor to client ratio.**

We have

81+

full-time employees

&

30

equity owners.

We serve clients in over

30

States.

We custody with Fidelity Investments & Charles Schwab



All information is as of 12/31/24.

**Advisor to client ratio is based on number of client households an advisor serves.



About Us

Taking control: Your Choice in wealth management



Without a thoughtfully crafted plan, it will be difficult to achieve your goals. **Our team takes a proactive approach to put you in the driver's seat.**



Advisors can't offer white glove service if their advisor-to-client ratio is too high. Unlike competitors who may manage hundreds of clients, **we keep our advisor-to client ratio far below the industry norm.**



You won't get the best opportunities if your advisor's approach is uninspired. **We provide access to advanced strategies, including top-tier alternative asset managers and overlooked niche players.**



Developing the highest degree of trust is impossible without skin in the game. **We invest alongside you, aligning our interests with yours.**



Advisors shouldn't have to choose between serving clients or shareholders. **As an employee-owned firm, we aren't beholden to anyone but you.**



This comprehensive approach to advising clients has helped Simon Quick **forge a 20-year track record of success.**

About Us

Let's explore what separates Simon Quick from the competition.



Direct Access to Sophisticated Strategies

Access exclusive investment strategies through Simon Quick with our **deep experience crafting portfolios with alternative investments** like venture capital, private equity, real estate, and private credit.

Unlock financial planning strategies that reveal savings opportunities you might miss otherwise.



We Have Skin in the Game

From day one, **our owners and their family members have personally invested alongside our clients**. Today, these investments represent nearly 10% of our assets under management.

We believe in standing by our recommendations, which is why we invest our own money right alongside yours.



We Work for Clients, Not Shareholders

With 30 of our team members holding equity, **we're proud to be an employee-owned firm**.

We operate with the mindset of business owners and we're accountable only to our clients.

Our Services

Simon Quick Advisors is an independent wealth management firm that **provides customized solutions for high-net-worth individuals.**



Investment Advisory



Financial Planning



Family Office Services



Administration and Reporting Services

Our Services



Financial Planning

Comprehensive financial planning, covering, tax, estate, retirement, charitable giving, cash flow analysis, protection planning, and financial projections, both initially and continuously. **We collaborate with your attorneys, accountants, and insurance providers to ensure the seamless integration of your plan.**

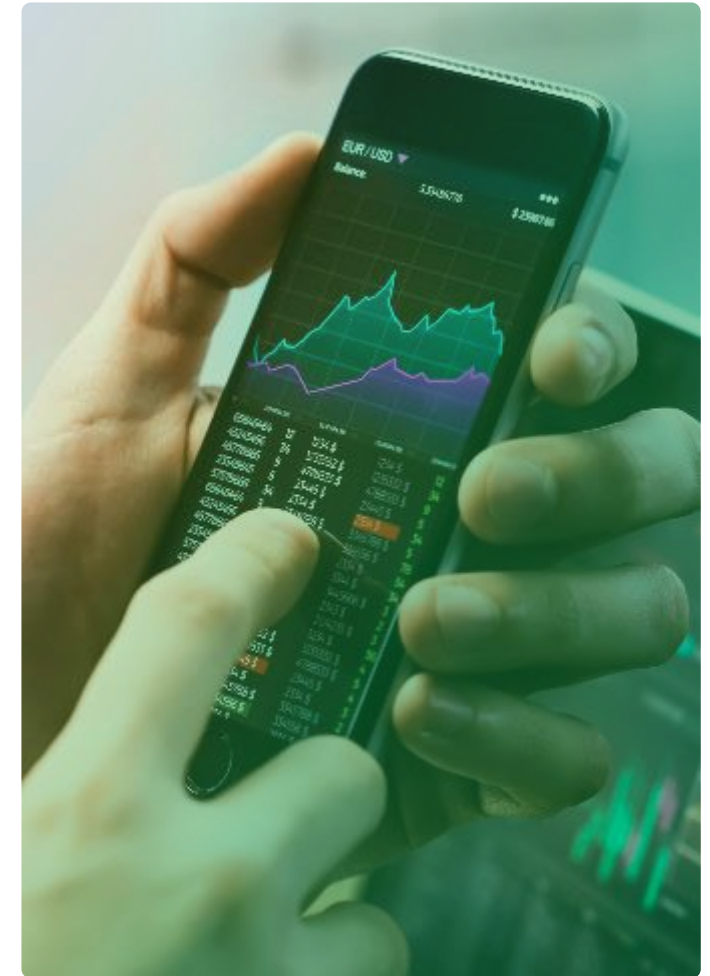


Investments

Crafting a tailored Investment Policy Statement (IPS) is crucial for aligning your investments with your financial goals. **We'll work with you to develop an IPS that considers your:**

- ✓ Liquidity needs
- ✓ Return objectives
- ✓ Risk tolerance
- ✓ Income requirements
- ✓ Tax Considerations

This document will serve as a blueprint for constructing your portfolio and will be reviewed with you regularly.



Our Services



Family Office Services

For our clients with the most complex needs, this premium offering is like having your own personal CFO.

- ✓ Full-service bookkeeping
- ✓ Bill pay
- ✓ Bank/credit card reconciliation
- ✓ Property
- ✓ Lifestyle management



Administration and Reporting

Our quarterly client reports deliver detailed insights into your:

- ✓ Investment performance
- ✓ Risk Metrics
- ✓ Portfolio holdings

Gain a bird's-eye view of your entire financial picture and stay apprised of market trends with access to your investment portal, SQ Money, and market commentary – empowering you to make informed decisions.

Our Wealth Management Solution

There are few things more personal than your wealth.

That's why we prioritize understanding your unique values, beliefs, and aspirations **to tailor a wealth management strategy that aligns with your goals.**



Through our comprehensive onboarding process, **we delve into your specific financial objectives, risk tolerance, and long-term vision.**



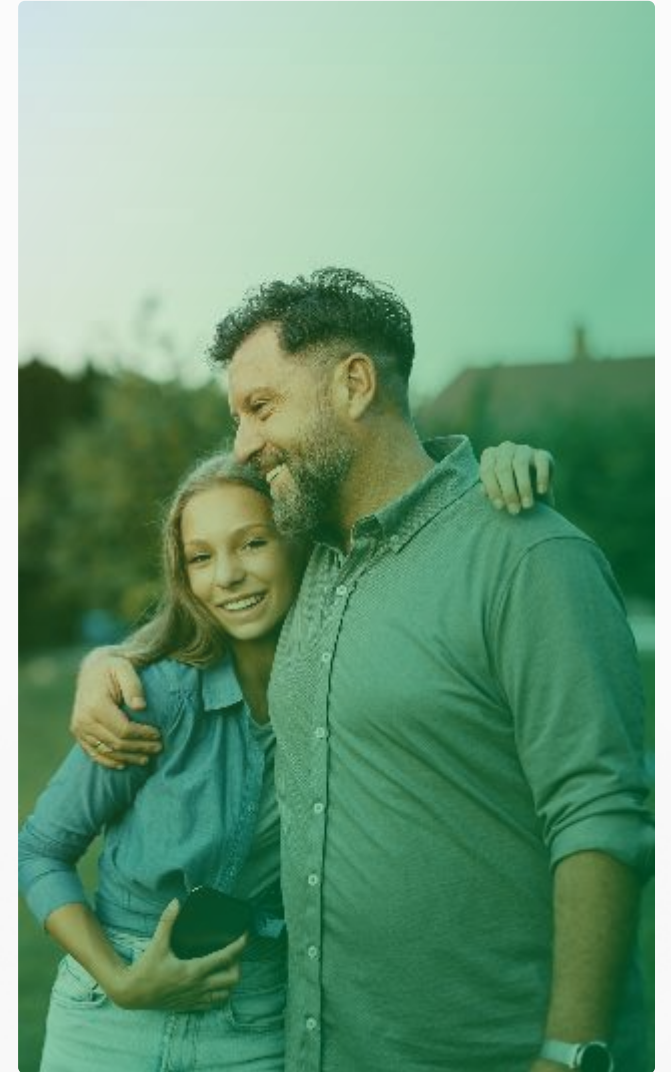
This vital insight, coupled with our extensive industry expertise, **forms the bedrock of your customized financial plan and investment portfolio.**



Your advisory team will be in contact with you regularly, proactively recommending new financial planning strategies and investment opportunities as they become available.



We tailor our approach to fit into your schedule, whether you prefer frequent informal reviews or less frequent formal meetings.



Our Financial Planning Approach

At Simon Quick, we want to be your first call for any financial question you have, ensuring you're well-informed and in a strong position to make sound financial decisions.



Our Goal?

To put you in control of a well-organized financial life.



Your advisor will **guide you through a comprehensive financial planning process to address all areas of your financial life** – coordinating your attorneys, accountants, and insurance professionals as needed.



Managing your family's financial matters requires you to be thoughtful. We **actively engage all appropriate family members so that all stakeholders are well-informed and aligned.**

Our Investment Approach

Our goal: deliver strong, consistent returns across various market cycles, with a focus on your long-term objectives.

How we do it:



Asset Allocation

Your investment policy statement includes an asset allocation framework with ranges and targets. This guides the portfolio construction process by **establishing guardrails and target allocations across equities, fixed income, alternative strategies, and cash.**

Within these categories, we further refine our selection to sub-asset classes, always with an eye on diversification and aligning with your risk and return goals. **This balanced approach is designed to navigate you through market cycles with your objectives firmly in sight.**

Investment Selection

Your advisor will propose a selection of Investment Committee-approved funds for consideration. **All investments must go through our rigorous due diligence process to be eligible for use in a client's portfolio.**

Family Office Services

Founded with the needs of our most complex families in mind.

Our services are highly-customizable and available a la carte to eligible clients.

CFO Services: Full-service bookkeeping, centralized bill payment, and deep insight into all inflows and outflows across credit card and bank accounts.

Lifestyle Advisory: Residency consulting, vendor negotiations, and document management.

Goals-Based Planning and Investing: Detailed financial projections, creation of investment portfolios, and retirement planning.



Risk Management and Insurance: Policy reviews and renewals, premium payments, and concierge medical insurance assistance.

Tax Strategy and Planning: coordination with accountants, estimated payments, and analysis to identify potential areas of tax savings.

Estate Planning: Accounting for all trusts, gift tracking, and advanced directive assistance.

Philanthropic Planning: Monitoring of charitable donations and dedicated accounting records developed and maintained as needed.

Introducing WealthMetrx

Simon Quick doesn't just help clients optimize their investment strategies.

Improve your tax efficiency with our powerful proprietary tool.



Many high-net-worth clients miss significant tax savings because they cannot identify overlooked deductions or optimize their tax strategies. To solve this problem, we created WealthMetrx – a **groundbreaking new tool with the power to unveil substantial tax savings and strategic planning possibilities.**



WealthMetrx renders complex returns into simple visuals and compares client tax profiles against a broad benchmark of existing client filings. **Identify and adopt tax strategies your peers may be using.**



WealthMetrx can highlight anomalies, flag errors and omissions, and identify key multi-year trends. **No other firm offers anything like it.**

Why Work With Us?

The answer is simple: What sets us apart is the exceptional value we offer compared to other firms.



Think Long Term

Working with a financial advisor ensures you stay **focused on your long-term goals**, shielding you from making impulsive decisions influenced by market fluctuations.



Get Organized

We'll centralize and **organize your financial life**, bringing clarity and structure to your financial decision-making process.



Exceptional Service

Experience **personalized attention and expertise** from a dedicated team of financial professionals.



Sophisticated Advice

Delivered to you **proactively**, so you don't miss out on important opportunities.



Lighten Your Load

Let your advisory team **alleviate the administrative burden of your financial management**, so you can focus on what matters most to you.

Don't just take our word for it. Let us show you the power of Simon Quick Advisors.

Get In Touch

Changing advisors or hiring one for the first time can be an overwhelming process that you don't have to navigate alone.

Our professionals are standing by to assist you through the process.



You can reach us by calling (973) 525-1000 or emailing info@simonquickadvisors.com.

Learn more at www.simonquickadvisors.com



Disclaimer

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