

**Item 1 Cover Page**

A.

**Leslie C. Quick III**

Simon Quick Advisors, LLC

**Form ADV Part 2B - Brochure Supplement  
Dated March 27, 2024**

Contact: Steve Pisano, Chief Compliance Officer

360 Mount Kemble Ave.

Morristown, NJ 07960

B.

**This Brochure Supplement provides information about Leslie Quick that supplements the Simon Quick Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Steve Pisano, Chief Compliance Officer, if you did *not* receive Simon Quick Advisors, LLC's Brochure or if you have any questions about the contents of this supplement at (973) 525-1000 or [spisano@simonquickadvisors.com](mailto:spisano@simonquickadvisors.com).**

**Item 2 Education Background and Business Experience**

Leslie Quick was born in 1953. Mr. Quick graduated from St. Bonaventure University in 1975, with a Bachelor of Business Administration degree in Finance.

Mr. Quick co-founded the firm in 2004 and currently sits on the firm's Investment Committee.

Mr. Quick began his career in 1975 as the fourth employee of Quick and Reilly Inc., the first New York Stock Exchange (NYSE) member to offer discount stock brokerage to the investing public. Over his 28 years with the firm, he served in many capacities. He was a key member of the management team that built the branch network, started, and grew a major clearing firm, acquired, and ran a major NASDAQ market making firm, made many acquisitions of NYSE SPECIALIST firms and built one of the first electronic internet trading platforms in the industry. Mr. Quick was the company liaison when it offered stock to the public in 1983 and became a listed company on the NYSE. Mr. Quick completed his tenure as Chairman of Fleet Securities, Inc. after Quick and Reilly Group, Inc. was acquired by Fleet Bank in 1998.

### **Item 3 Disciplinary Information**

Mr. Quick has no reportable events that would be material to a client's evaluation of Mr. Quick or Simon Quick Advisors, LLC.

### **Item 4 Other Business Activities**

- A. Mr. Quick is a Founding Partner and equity owner of Simon Quick Advisors, LLC.
- B. Mr. Quick also serves on the finance and investment committees of St. Bonaventure University, Bishop's Finance Council for the Diocese of Metuchen, St. Mary's Abbey Delbarton, Franciscan Friars of the Holy Name Province and the Finance Council for Our Lady of Perpetual Help.
- C. Mr. Quick is not actively engaged in any investment-related or non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

As a Founding Partner and equity owner of Simon Quick Advisors, LLC, Mr. Quick earns annual compensation from Simon Quick Advisors, LLC which is based, in part, on the number of new clients and assets under management introduced to Simon Quick Advisors, LLC. Accordingly, Mr. Quick has a conflict of interest when recommending that Simon Quick Advisors, LLC provide investment advisory services, because the recommendation could be made based on compensation to be received, rather than on a client or prospective client's best interests.

### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("Act"). The Registrant's Chief Compliance Officer, Steve Pisano is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment advisor representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Pisano at (973) 525-1000. **Additional information about Mr. Quick is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 1 Cover Page**

A.

**Joseph A. Belfatto**

Simon Quick Advisors, LLC

**Form ADV Part 2B - Brochure Supplement  
Dated March 27, 2024**

Contact: Steve Pisano, Chief Compliance Officer  
360 Mount Kemble Ave.  
Morristown, NJ 07960

B.

**This Brochure Supplement provides information about Joseph Belfatto that supplements the Simon Quick Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Steve Pisano, Chief Compliance Officer, if you did *not* receive Simon Quick Advisors, LLC's Brochure or if you have any questions about the contents of this supplement at (973) 525-1000 or [spisano@simonquickadvisors.com](mailto:spisano@simonquickadvisors.com).**

**Item 2 Education Background and Business Experience**

Joseph Belfatto was born in 1954. Mr. Belfatto graduated from Seton Hall University in 1976, with a Bachelor of Arts degree in Economics and in 1978 from Harvard Business School with a Master of Business Administration degree in Marketing and Finance.

Mr. Belfatto joined the firm as a Partner in 2007 after a 23-year career on Wall Street. Prior to joining the firm, he was a Senior Executive Vice President at HSBC and served as a member of the Executive Committee for Corporate, Investment Banking, and Markets. Mr. Belfatto currently sits on the firm's Executive Committee.

**Item 3 Disciplinary Information**

Mr. Belfatto has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Belfatto or Simon Quick Advisors, LLC.

#### **Item 4 Other Business Activities**

- A. Mr. Belfatto is a Managing Partner and equity owner of Simon Quick Advisors, LLC.
- B. Mr. Belfatto is not actively engaged in any investment-related or non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

As a Managing Partner and equity owner of Simon Quick Advisors, LLC, Mr. Belfatto earns annual compensation from Simon Quick Advisors, LLC which is based, in part, on the number of new clients and assets under management introduced to Simon Quick Advisors, LLC. Accordingly, Mr. Belfatto has a conflict of interest when recommending that Simon Quick Advisors, LLC provide investment advisory services, because the recommendation could be made based on compensation to be received, rather than on a client or prospective client's best interests.

#### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). The Registrant's Chief Compliance Officer, Steve Pisano, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment advisor representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Pisano at (973) 525-1000. **Additional information about Mr. Belfatto is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 1 Cover Page**

A.

**Christopher B. Moore**

Simon Quick Advisors, LLC

**Form ADV Part 2B - Brochure Supplement  
Dated March 27, 2024**

Contact: Steve Pisano, Chief Compliance Officer  
360 Mount Kemble Ave.  
Morristown, NJ 07960

B.

**This Brochure Supplement provides information about Christopher Moore that supplements the Simon Quick Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Steve Pisano, Chief Compliance Officer, if you did *not* receive Simon Quick Advisors, LLC's Brochure or if you have any questions about the contents of this supplement at (973) 525-1000 or [spisano@simonquickadvisors.com](mailto:spisano@simonquickadvisors.com).**

**Item 2 Education Background and Business Experience**

Christopher Moore was born in 1979. Mr. Moore graduated from the College of Holy Cross in 2002, with a Bachelor of Arts degree majoring in Mathematics and minoring in Computer Science. In 2010, he earned a Master of Business Administration degree in the Executive MBA Program at Columbia Business School where his studies were focused on Finance and Investments.

Mr. Moore joined the firm in 2004. Prior to joining, Mr. Moore began his career in the financial services industry working for Aon Risk Services as a licensed Property & Casualty insurance producer servicing middle to large market corporations in the Northeast. Mr. Moore is currently a Managing Partner and sits on the firm's Executive and Investment Committees.

Mr. Moore has held the designation of Certified Financial Planner (CFP®) since 2006. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university. CFP®

candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

### **Item 3 Disciplinary Information**

Mr. Moore has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Moore or Simon Quick Advisors, LLC.

### **Item 4 Other Business Activities**

- A. Mr. Moore is a Managing Partner and equity owner of Simon Quick Advisors, LLC.
- B. Mr. Moore is not actively engaged in any investment-related or non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

As a Managing Partner and equity owner of Simon Quick Advisors, LLC, Mr. Moore earns annual compensation from Simon Quick Advisors, LLC which is based, in part, on the number of new clients and assets under management introduced to Simon Quick Advisors, LLC. Accordingly, Mr. Moore has a conflict of interest when recommending that Simon Quick Advisors, LLC provide investment advisory services, because the recommendation could be made based on compensation to be received, rather than on a client or prospective client's best interests.

### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). The Registrant's Chief Compliance Officer, Steve Pisano, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment advisor representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Pisano at (973) 525-1000. **Additional information about Mr. Moore is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 1 Cover Page**

A.

**John Peter Simon**

Simon Quick Advisors, LLC

**Form ADV Part 2B - Brochure Supplement  
Dated March 27, 2024**

Contact: Steve Pisano, Chief Compliance Officer  
360 Mount Kemble Ave.  
Morristown, NJ 07960

B.

**This Brochure Supplement provides information about Peter Simon that supplements the Simon Quick Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Steve Pisano, Chief Compliance Officer, if you did *not* receive Simon Quick Advisors, LLC's Brochure or if you have any questions about the contents of this supplement at (973) 525-1000 or [spisano@simonquickadvisors.com](mailto:spisano@simonquickadvisors.com).**

**Item 2 Education Background and Business Experience**

Peter Simon was born in 1953. Mr. Simon graduated from Lafayette College in 1975 with a Bachelor of Arts degree in Psychology. He also attended New York University Stern Graduate School of Business.

In 1988 Mr. Simon co-founded William E. Simon & Sons, LLC ("WESS"), a "family office" investment advisor, along with his late father, former Treasury Secretary William E. Simon, and his brother, William E. Simon, Jr. and served as Co- Chairman of WESS. Mr. Simon was previously employed by Kidder Peabody in New York City from 1975 – 1988. At Kidder Peabody, he rose to Managing Director in the Convertible Securities Department. During his time at Kidder Peabody, he was part of the Institutional Equity Trading Department specializing in convertible securities. The department established themselves as a leading underwriter and market maker in convertible securities. It also developed one of the first research products focused on converts. In 2017 Massey, Quick & Co., LLC combined with WESS to form a single combined company. Mr. Simon is currently a Partner and sits on the firm's Investment Committee.

### **Item 3 Disciplinary Information**

Mr. Simon has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Simon or Simon Quick Advisors, LLC.

### **Item 4 Other Business Activities**

A. Mr. Simon is a Managing Partner and indirect beneficial equity owner of Simon Quick Advisors, LLC.

B. Mr. Simon is Co-Chairman of The William E. Simon Foundation, a member of the Board of Trustees of the Richard Nixon Library and Birthplace Foundation, the Arnold and Mabel Beckman Foundation, the New Vernon Cemetery Association Board of Trustees and a provisional member of the Charles Hayden Foundation. In all Board roles he has been Chair of the Investment Committee.

C. Mr. Simon serves as Trustee of the William E. Simon & Sons Retirement Plan, LLC, Co-Chairman and Trustee of the William E. Simon Family Endowment and Trustee of the Hidden Pond Foundation.

D. Mr. Simon is an Emeritus member of the Board of Trustees at Lafayette College. At the University of Rochester, he is an Emeritus member of the Executive Advisory Committee at The William E. Simon Graduate School of Business. Mr. Simon also serves as an Investment Committee member for St. Mary's Abbey. Past philanthropic commitments include appointed member of the New Jersey State Board of Education, Chairman of the Foundation for Morristown Medical Center, the Alliance for School Choice Board of Trustees, the Gladney Center Board of Trustees, the National Council for Adoption Board of Trustees, and the Peck School Board of Trustees. He was also a past member of the Covenant House New Jersey Board of Directors.

### **Item 5 Additional Compensation**

A portion of Mr. Simon's compensation is paid by a legacy WESS, current Simon Quick Advisors, LLC client. Additionally, as a Partner and equity owner of Simon Quick Advisors, LLC, Mr. Simon earns annual compensation from Simon Quick Advisors, LLC which is based, in part, on the number of new clients and assets under management introduced to Simon Quick Advisors, LLC. Accordingly, Mr. Simon has a conflict of interest when recommending that Simon Quick Advisors, LLC provide investment advisory services, because the recommendation could be made based on compensation to be received, rather than on a client or prospective client's best interests.

### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). The Registrant's



Chief Compliance Officer, Steve Pisano is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment advisor representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Pisano at (973) 525-1000. **Additional information about Mr. Simon is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 1 Cover Page

A.

**Kristin M. Bell**

Simon Quick Advisors, LLC

## **Form ADV Part 2B - Brochure Supplement Dated March 27, 2024**

Contact: Steve Pisano, Chief Compliance Officer  
360 Mount Kemble Ave.  
Morristown, NJ 07960

B.

**This Brochure Supplement provides information about Kristin Bell that supplements the Simon Quick Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Steve Pisano, Chief Compliance Officer, if you did *not* receive Simon Quick Advisors, LLC's Brochure or if you have any questions about the contents of this supplement at (973) 525-1000 or [spisano@simonquickadvisors.com](mailto:spisano@simonquickadvisors.com).**

## Item 2 Education Background and Business Experience

Kristin Bell was born in 1975. Ms. Bell graduated from Wheaton College with a Bachelor of Science in Psychology.

Ms. Bell joined the firm in September 2018 and currently serves as a Director of the Southeast, Principal, and Client Advisor. Before joining Simon Quick Advisors, LLC, Ms. Bell was employed with Southeastern Trust Company as a Portfolio Manager from 2015 to 2018. Prior to joining Southeastern Trust Company, Ms. Bell served as an Investment Officer for Unum Group from 2002 through 2015.

Ms. Bell has held the Chartered Financial Analyst (CFA) designation since 2004. The CFA charter is a professional designation awarded by CFA Institute. To earn the CFA charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. In addition, CFA charter holders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of

## Ethics and Standards of Professional Conduct.

Ms. Bell has held the designation of Certified Financial Planner (CFP®) since 2019. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

### **Item 3 Disciplinary Information**

Ms. Bell has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Ms. Bell or Simon Quick Advisors, LLC.

### **Item 4 Other Business Activities**

Ms. Bell is not actively engaged in any investment-related or non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

As a Principal and equity owner of Simon Quick Advisors, Ms. Bell earns annual compensation from Simon Quick Advisors, LLC which is based, in part, on the number of new clients and assets under management introduced to Simon Quick Advisors, LLC. Accordingly, Ms. Bell has a conflict of interest when recommending that Simon Quick Advisors, LLC provide investment advisory services, because the recommendation could be made based on compensation to be received, rather than on a client or prospective client's best interests.

### **Item 6 Supervision**

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## Item 1 Cover Page

A.

**Maritza Chow Young**

Simon Quick Advisors, LLC

## **Form ADV Part 2B - Brochure Supplement Dated March 27, 2024**

Contact: Steve Pisano, Chief Compliance Officer  
360 Mount Kemble Ave.  
Morristown, NJ 07960

B.

**This Brochure Supplement provides information about Maritza Chow Young that supplements the Simon Quick Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Steve Pisano, Chief Compliance Officer, if you did *not* receive Simon Quick Advisors, LLC's Brochure or if you have any questions about the contents of this supplement at (973) 525-1000 or [spisano@simonquickadvisors.com](mailto:spisano@simonquickadvisors.com).**

### **Item 2 Education Background and Business Experience**

Maritza Chow Young was born in 1975. Ms. Chow Young graduated from Trinity College with a Bachelor of Arts in English.

Ms. Chow Young joined the firm in March 2023 and currently serves as a Director and Client Advisor. Before joining Simon Quick Advisors, LLC, Ms. Chow Young was employed with KLS Professional Advisors Group since 2001. Ms. Chow Young become employed by Boston Private after the acquisition of KLS Professional Advisors Group in 2004. Most recently, Ms. Chow Young was employed by Silicon Valley Bank after it acquired Boston private in 2021.

### **Item 3 Disciplinary Information**

Ms. Chow Young has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Ms. Chow Young or Simon Quick Advisors, LLC.

### **Item 4 Other Business Activities**

Ms. Chow Young is not actively engaged in any investment-related or non-investment-related business or occupation for compensation.

## **Item 5 Additional Compensation**

As a Client Advisor, Ms. Chow Young earns annual compensation from Simon Quick Advisors, LLC which is based, in part, on the number of new clients and assets under management introduced to Simon Quick Advisors, LLC. Accordingly, Ms. Chow Young has a conflict of interest when recommending that Simon Quick Advisors, LLC provide investment advisory services, because the recommendation could be made based on compensation to be received, rather than on a client or prospective client's best interests.

## **Item 6 Supervision**

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## Item 1 Cover Page

A.

**William F. Conger**

Simon Quick Advisors, LLC

## **Form ADV Part 2B - Brochure Supplement Dated March 27, 2024**

Contact: Steve Pisano, Chief Compliance Officer  
360 Mount Kemble Ave.  
Morristown, NJ 07960

B.

**This Brochure Supplement provides information about William Conger that supplements the Simon Quick Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Steve Pisano, Chief Compliance Officer, if you did *not* receive Simon Quick Advisors, LLC's Brochure or if you have any questions about the contents of this supplement at (973) 525-1000 or [spisano@simonquickadvisors.com](mailto:spisano@simonquickadvisors.com).**

### **Item 2 Education Background and Business Experience**

William Conger was born in 1946. Mr. Conger graduated from the University of Maryland with a Bachelor of Science in 1973.

Mr. Conger joined the firm in April 2022 as a Senior Managing Director when Simon Quick Advisors, LLC combined with Red Hook Management, LLC ("RHM") to form a single combined company. Mr. Conger has over forty years' experience in the securities industry and served as Portfolio Manager and a Principal of RHM since its inception in 2008.

### **Item 3 Disciplinary Information**

Mr. Conger has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Conger or Simon Quick Advisors, LLC.

### **Item 4 Other Business Activities**

Mr. Conger is the Chairman of the Board of The Raptor Trust. The firm manages The Raptor Trust's endowment. Mr. Conger is not a member of the Investment Committee of The Raptor

Trust and does not have a vote on investment decisions.

### **Item 5 Additional Compensation**

As a Senior Managing Director, Mr. Conger earns annual compensation from Simon Quick Advisors, LLC which is based, in part, on the number of new clients and assets under management introduced to Simon Quick Advisors, LLC. Accordingly, Mr. Conger has a conflict of interest when recommending that Simon Quick Advisors, LLC provide investment advisory services, because the recommendation could be made based on compensation to be received, rather than on a client or prospective client's best interests.

### **Item 6 Supervision**

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**Item 1 Cover Page**

A.

**Connor N. Donovan**

Simon Quick Advisors, LLC

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360 Mount Kemble Ave.  
Morristown, NJ 07960

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**This Brochure Supplement provides information about Connor Donovan that supplements the Simon Quick Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Steve Pisano, Chief Compliance Officer, if you did *not* receive Simon Quick Advisors, LLC's Brochure or if you have any questions about the contents of this supplement at (973) 525-1000 or [spisano@simonquickadvisors.com](mailto:spisano@simonquickadvisors.com).**

**Item 2 Education Background and Business Experience**

Connor Donovan was born in 1995. Mr. Donovan graduated with Honors from Lehigh University in 2017 with a Bachelor of Science in Business and Economics with a major in Finance and minor in Entrepreneurship. Mr. Donovan joined the firm in 2017 and is currently a Vice President, Principal, and Client Advisor.

Mr. Donovan has held the designation of Certified Financial Planner (CFP®) since 2019. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.



### **Item 3 Disciplinary Information**

Mr. Donovan has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Donovan or Simon Quick Advisors, LLC.

### **Item 4 Other Business Activities**

Mr. Donovan is not actively engaged in any investment-related or non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

As a Principal and equity owner of Simon Quick Advisors, Mr. Donovan earns annual compensation from Simon Quick Advisors, LLC which is based, in part, on the number of new clients and assets under management introduced to Simon Quick Advisors, LLC. Accordingly, Mr. Donovan has a conflict of interest when recommending that Simon Quick Advisors, LLC provide investment advisory services, because the recommendation could be made based on compensation to be received, rather than on a client or prospective client's best interests.

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**Item 1 Cover Page**

A.

**Kyle J. Ferrare**

Simon Quick Advisors, LLC

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Dated March 27, 2024**

Contact: Steve Pisano, Chief Compliance Officer  
360 Mount Kemble Ave.  
Morristown, NJ 07960

B.

**This Brochure Supplement provides information about Kyle Ferrare that supplements the Simon Quick Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Steve Pisano, Chief Compliance Officer, if you did *not* receive Simon Quick Advisors, LLC's Brochure or if you have any questions about the contents of this supplement at (973) 525-1000 or [spisano@simonquickadvisors.com](mailto:spisano@simonquickadvisors.com).**

**Item 2 Education Background and Business Experience**

Kyle Ferrare was born in 1984. Mr. Ferrare graduated from Bryant University with a Bachelor of Science in Business Administration with a Concentration in Finance.

Mr. Ferrare began his career in 2006 as a consultant for Deloitte Tax LLP. In 2011, Mr. Ferrare joined the firm in April 2011 and is currently a Managing Director, Principal, and Client Advisor.

Mr. Ferrare has held the designation of Certified Financial Planner (CFP®) since 2011. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

Mr. Ferrare has held the Chartered Alternative Investment Analyst (CAIA) designation since 2014. The CAIA Charter® is awarded by the CAIA Association and is granted upon successful completion of a rigorous two-level exam series, combined with relevant work experience. Earning the CAIA Charter is the gateway to becoming a member of the CAIA Association, a global network of alternative investment leaders, who have demonstrated a deep and thorough understanding of alternative investing.

### **Item 3 Disciplinary Information**

Mr. Ferrare has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Ferrare or Simon Quick Advisors, LLC.

### **Item 4 Other Business Activities**

- A. Mr. Ferrare is an equity owner Principal of Simon Quick Advisors, LLC.
- B. Mr. Ferrare is not actively engaged in any investment-related or non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

As a Principal and equity owner of Simon Quick Advisors, Mr. Ferrare earns annual compensation from Simon Quick Advisors, LLC which is based, in part, on the number of new clients and assets under management introduced to Simon Quick Advisors, LLC. Accordingly, Mr. Ferrare has a conflict of interest when recommending that Simon Quick Advisors, LLC provide investment advisory services, because the recommendation could be made based on compensation to be received, rather than on a client or prospective client's best interests.

### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). The Registrant's Chief Compliance Officer, Steve Pisano is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment advisor representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Pisano at (973) 525-1000. **Additional information about Mr. Ferrare is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 1 Cover Page**

A.

**Brannon Fisher**

Simon Quick Advisors, LLC

**Form ADV Part 2B - Brochure Supplement  
Dated March 27, 2024**

Contact: Steve Pisano, Chief Compliance Officer  
360 Mount Kemble Ave.  
Morristown, NJ 07960

B.

**This Brochure Supplement provides information about Brannon Fisher that supplements the Simon Quick Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Steve Pisano, Chief Compliance Officer, if you did *not* receive Simon Quick Advisors, LLC's Brochure or if you have any questions about the contents of this supplement at (973) 525-1000 or [spisano@simonquickadvisors.com](mailto:spisano@simonquickadvisors.com).**

**Item 2 Education Background and Business Experience**

Brannon Fisher was born in 1972. Mr. Fisher graduated from Colgate University with a Bachelor of Arts in English Literature. He also holds a Master of Arts in Education from the University of Colorado – Boulder and a Master of Business Administration from Dominican University of California.

Mr. Fisher joined the firm in April 2019 and currently serves as a Director, Principal, and Client Advisor. Before joining Simon Quick Advisors, LLC, Mr. Fisher was employed with Branzan Investment Advisors as Director of Client Relations from 2017 to 2019. Prior to joining Branzan, Mr. Fisher served in various administrative capacities at Bowdoin College most recently as Associate Vice President for Annual and Leadership Giving.

Mr. Fisher has held the designation of Certified Financial Planner (CFP®) since 2021. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general

principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

### **Item 3 Disciplinary Information**

Mr. Fisher has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Fisher or Simon Quick Advisors, LLC.

### **Item 4 Other Business Activities**

- A. Mr. Fisher is an equity owner Principal of Simon Quick Advisors, LLC.
- B. Mr. Fisher is not actively engaged in any investment-related or non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

As a Principal and equity owner of Simon Quick Advisors, Mr. Fisher earns annual compensation from Simon Quick Advisors, LLC which is based, in part, on the number of new clients and assets under management introduced to Simon Quick Advisors, LLC. Accordingly, Mr. Fisher has a conflict of interest when recommending that Simon Quick Advisors, LLC provide investment advisory services, because the recommendation could be made based on compensation to be received, rather than on a client or prospective client's best interests.

### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). The Registrant's Chief Compliance Officer, Steve Pisano is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment advisor representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Pisano at (973) 525-1000. **Additional information about Mr. Fisher is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 1 Cover Page**

A.

**William B. Lalor**

Simon Quick Advisors, LLC

**Form ADV Part 2B - Brochure Supplement  
Dated March 27, 2024**

Contact: Steve Pisano, Chief Compliance Officer  
360 Mount Kemble Ave.  
Morristown, NJ 07960

B.

**This Brochure Supplement provides information about William Lalor that supplements the Simon Quick Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Steve Pisano, Chief Compliance Officer, if you did *not* receive Simon Quick Advisors, LLC's Brochure or if you have any questions about the contents of this supplement at (973) 525-1000 or [spisano@simonquickadvisors.com](mailto:spisano@simonquickadvisors.com).**

**Item 2 Education Background and Business Experience**

William Lalor was born in 1977. Mr. Lalor earned a Master of Business Administration with a concentration in Finance from Rutgers Business School and a Bachelor of Science degree from Rutgers School of Engineering where he majored in Ceramic and Materials Engineering.

Mr. Lalor joined the firm as a Client Advisor in 2011 and currently serves as a Managing Director, Principal, and Client Advisor.

Mr. Lalor has held the designation of Certified Financial Planner (CFP®) since 2007. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

Mr. Lalor has held the Chartered Financial Analyst (CFA) designation since 2014. The CFA charter is a professional designation awarded by CFA Institute. To earn the CFA charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. In addition, CFA charter holders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

### **Item 3 Disciplinary Information**

Mr. Lalor has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Lalor or Simon Quick Advisors, LLC.

### **Item 4 Other Business Activities**

- A. Mr. Lalor is an equity owner Principal of Simon Quick Advisors, LLC.
- B. Mr. Lalor is not actively engaged in any investment-related or non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

As a Principal and equity owner of Simon Quick Advisors, Mr. Lalor earns annual compensation from Simon Quick Advisors, LLC which is based, in part, on the number of new clients and assets under management introduced to Simon Quick Advisors, LLC. Accordingly, Mr. Lalor has a conflict of interest when recommending that Simon Quick Advisors, LLC provide investment advisory services, because the recommendation could be made based on compensation to be received, rather than on a client or prospective client's best interests.

### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). The Registrant's Chief Compliance Officer, Steve Pisano is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment advisor representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Pisano at (973) 525-1000. **Additional information about Mr. Lalor is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 1 Cover Page**

A.

**Arthur N. Langhaus**

Simon Quick Advisors, LLC

**Form ADV Part 2B - Brochure Supplement  
Dated March 27, 2024**

Contact: Steve Pisano, Chief Compliance Officer  
360 Mount Kemble Ave.  
Morristown, NJ 07960

B.

**This Brochure Supplement provides information about Arthur Langhaus that supplements the Simon Quick Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Steve Pisano, Chief Compliance Officer, if you did *not* receive Simon Quick Advisors, LLC's Brochure or if you have any questions about the contents of this supplement at (973) 525-1000 or [spisano@simonquickadvisors.com](mailto:spisano@simonquickadvisors.com).**

**Item 2 Education Background and Business Experience**

Arthur Langhaus was born in 1955. Mr. Langhaus graduated from Queens College in 1978 with a Bachelor of Science in Accounting and from Pace University's Lubin School of Business in 1981 with a Master of Business Administration degree in Taxation.

Mr. Langhaus joined the firm in April 2022 as a Senior Managing Director and Client Advisor and sits on the firm's Investment Committee. Before joining Simon Quick Advisors, LLC, Mr. Langhaus was employed as a Senior Managing Director with KLS, a firm he founded more than thirty years ago.

**Item 3 Disciplinary Information**

Mr. Langhaus has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Langhaus or Simon Quick Advisors, LLC.



#### **Item 4 Other Business Activities**

Mr. Langhaus is a consultant to a select group of ultra-high net worth multi-generational families.

#### **Item 5 Additional Compensation**

As a Client Advisor, Mr. Langhaus earns annual compensation from Simon Quick Advisors, LLC based on revenue earned from clients serviced by Simon Quick Advisors, LLC. Accordingly, Mr. Langhaus has a conflict of interest when recommending that Simon Quick Advisors, LLC provide investment advisory services because the recommendation could be made based on compensation to be received rather than on a client or prospective client's best interests.

#### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). The Registrant's Chief Compliance Officer, Steve Pisano is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment advisor representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Pisano at (973) 525-1000. **Additional information about Mr. Langhaus is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 1 Cover Page

A.

**Jack Mahoney**

Simon Quick Advisors, LLC

## **Form ADV Part 2B - Brochure Supplement Dated March 27, 2024**

Contact: Steve Pisano, Chief Compliance Officer  
360 Mount Kemble Ave.  
Morristown, NJ 07960

B.

**This Brochure Supplement provides information about Jack Mahoney that supplements the Simon Quick Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Steve Pisano, Chief Compliance Officer, if you did *not* receive Simon Quick Advisors, LLC's Brochure or if you have any questions about the contents of this supplement at (973) 525-1000 or [spisano@simonquickadvisors.com](mailto:spisano@simonquickadvisors.com).**

### **Item 2 Education Background and Business Experience**

Jack Mahoney was born in 1988. Mr. Mahoney graduated from Connecticut College in 2010 with a Bachelor of Arts degree majoring in International Relations and minoring in Economics.

Mr. Mahoney began his career with Bloomberg LP and was most recently with UBS Private Wealth Management in NYC from 2013 through 2018. Mr. Mahoney joined the firm in June 2018 and currently serves as a Managing Director, Principal, and Client Advisor.

Mr. Mahoney has held the designation of Certified Financial Planner (CFP®) since 2015. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

### **Item 3 Disciplinary Information**

Mr. Mahoney has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Mahoney or of Simon Quick Advisors, LLC.

### **Item 4 Other Business Activities**

- A. Mr. Mahoney is an equity owner Principal of Simon Quick Advisors, LLC.
- B. Mr. Mahoney is not actively engaged in any investment-related or non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

As a Principal and equity owner of Simon Quick Advisors, Mr. Mahoney earns annual compensation from Simon Quick Advisors, LLC which is based, in part, on the number of new clients and assets under management introduced to Simon Quick Advisors, LLC. Accordingly, Mr. Mahoney has a conflict of interest when recommending that Simon Quick Advisors, LLC provide investment advisory services, because the recommendation could be made based on compensation to be received, rather than on a client or prospective client's best interests.

### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). The Registrant's Chief Compliance Officer, Steve Pisano is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment advisor representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Pisano at (973) 525-1000. **Additional information about Mr. Mahoney is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 1 Cover Page**

A.

**Lisa A. Manzolillo**

Simon Quick Advisors, LLC

**Form ADV Part 2B - Brochure Supplement  
Dated March 27, 2024**

Contact: Steve Pisano, Chief Compliance Officer  
360 Mount Kemble Ave.  
Morristown, NJ 07960

B.

**This Brochure Supplement provides information about Lisa Manzolillo that supplements the Simon Quick Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Steve Pisano, Chief Compliance Officer, if you did *not* receive Simon Quick Advisors, LLC's Brochure or if you have any questions about the contents of this supplement at (973) 525-1000 or [spisano@simonquickadvisors.com](mailto:spisano@simonquickadvisors.com).**

**Item 2 Education Background and Business Experience**

Lisa Manzolillo was born in 1978. Ms. Manzolillo graduated from the University of Rhode Island in 2000 with a Bachelor of Arts degree in Accounting and from Fordham University in 2005 with a Master of Business Administration degree in Finance.

Ms. Manzolillo began her career with UBS Financial Services as a Registered Client Service Associate from 2005 to 2008. After leaving UBS, Ms. Manzolillo worked at Morgan Stanley as a Financial Advisor & Financial Planning Associate from 2008 to 2016. Most recently Ms. Manzolillo was with Modera Wealth Management, LLC as a Financial Advisor from 2016 through 2018. Ms. Manzolillo joined the firm in July 2018 and currently serves as a Director, Principal, and Client Advisor.

Ms. Manzolillo has held the designation of Certified Financial Planner (CFP®) since 2007. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university. CFP® candidates must pass an examination that covers over 100 financial planning topics, which

broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

Ms. Manzollilo has held the designation of Certified Divorce Financial Analyst (CDFA™) since 2017. A Certified Divorce Financial Analyst (CDFA™) is a member of the Institute for Divorce Financial Analysts who specializes in the financial issues surrounding divorce. The role of the CDFA™ includes acting as an advisor to one party's divorce lawyer, or as a mediator for both parties. A CDFA™ uses his or her knowledge of tax law, asset distribution, and short-term and long-term financial planning to achieve an equitable divorce settlement. To become a CDFA™, a person must have two years of financial planning or legal experience. After attaining the proper work experience, candidates are required to complete a four-step modular program and exam designed by the IDFA. The program is a self-study system, covering financial and tax issues, with case studies of divorce settlements.

### **Item 3 Disciplinary Information**

Ms. Manzollilo has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Ms. Manzollilo or Simon Quick Advisors, LLC.

### **Item 4 Other Business Activities**

- A. Ms. Manzollilo is an equity owner Principal of Simon Quick Advisors, LLC.
- B. Ms. Manzollilo is not actively engaged in any investment-related or non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

As a Principal and equity owner of Simon Quick Advisors, Ms. Manzollilo earns annual compensation from Simon Quick Advisors, LLC which is based, in part, on the number of new clients and assets under management introduced to Simon Quick Advisors, LLC. Accordingly, Ms. Manzollilo has a conflict of interest when recommending that Simon Quick Advisors, LLC provide investment advisory services, because the recommendation could be made based on compensation to be received, rather than on a client or prospective client's best interests.

### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). The Registrant's Chief Compliance Officer, Steve Pisano is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment advisor representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the *Act*, the Rules

thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Pisano at (973) 525-1000. **Additional information about Ms. Manzillo is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 1 Cover Page**

A.

**James V. McGurren**

Simon Quick Advisors, LLC

**Form ADV Part 2B - Brochure Supplement  
Dated March 27, 2024**

Contact: Steve Pisano, Chief Compliance Officer  
360 Mount Kemble Ave.  
Morristown, NJ 07960

B.

**This Brochure Supplement provides information about James McGurren that supplements the Simon Quick Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Steve Pisano, Chief Compliance Officer, if you did *not* receive Simon Quick Advisors, LLC's Brochure or if you have any questions about the contents of this supplement at (973) 525-1000 or [spisano@simonquickadvisors.com](mailto:spisano@simonquickadvisors.com).**

**Item 2 Education Background and Business Experience**

James McGurren was born in 1958. Mr. McGurren graduated from Fordham University in 1980, with a Bachelor of Science in Accounting.

Mr. McGurren joined the firm in October 2019 and currently serves as a Senior Managing Director, Principal, and Client Advisor and sits on the firm's Investment Committee. Mr. McGurren was previously employed with Next Capital Management, LLC beginning in September 2009 as a Vice President and last served as a Senior Managing Director. Before joining Next Capital Management, LLC, Mr. McGurren also served as the President/Chief Executive Officer at Dartmouth Advisory Services, Inc. since March 1992 and as Managing Director of KMC Advisory Group, LLC since January 1998.

Mr. McGurren has held the designation of Certified Financial Planner (CFP®) since 2007. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university. CFP® candidates must pass an examination that covers over 100 financial planning topics, which

broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

Mr. McGurren has held the designation of Certified Public Accountant (“CPA”) since 1985. CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. To maintain a CPA license, states generally require the completion of 40 hours of continuing professional education each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (“AICPA”) members are required to follow a rigorous Code of Professional Conduct which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. Most state boards of accountancy have adopted the AICPA’s Code of Professional Conduct within their state accountancy laws or have created their own.

Mr. McGurren does not perform any CPA services for Simon Quick Advisors, LLC.

### **Item 3 Disciplinary Information**

Mr. McGurren has not been involved in any legal or disciplinary events that would be material to a client’s evaluation of Mr. McGurren or Simon Quick Advisors, LLC.

### **Item 4 Other Business Activities**

- A. Mr. McGurren is an equity owner Principal of Simon Quick Advisors, LLC.
- B. Mr. McGurren is not actively engaged in any investment-related or non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

As a Principal and equity owner of Simon Quick Advisors, Mr. McGurren earns annual compensation from Simon Quick Advisors, LLC which is based, in part, on the number of new clients and assets under management introduced to Simon Quick Advisors, LLC. Accordingly, Mr. McGurren has a conflict of interest when recommending that Simon Quick Advisors, LLC provide investment advisory services, because the recommendation could be made based on compensation to be received, rather than on a client or prospective client’s best interests.



## **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). The Registrant's Chief Compliance Officer, Steve Pisano is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment advisor representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Pisano at (973) 525-1000. **Additional information about Mr. McGurren is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 1 Cover Page**

A.

**Larissa A. Mehlfelder**

Simon Quick Advisors, LLC

**Form ADV Part 2B - Brochure Supplement  
Dated March 27, 2024**

Contact: Steve Pisano, Chief Compliance Officer  
360 Mount Kemble Ave.  
Morristown, NJ 07960

B.

**This Brochure Supplement provides information about Larissa Mehlfelder that supplements the Simon Quick Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Steve Pisano, Chief Compliance Officer, if you did *not* receive Simon Quick Advisors, LLC's Brochure or if you have any questions about the contents of this supplement at (973) 525-1000 or [spisano@simonquickadvisors.com](mailto:spisano@simonquickadvisors.com).**

**Item 2 Education Background and Business Experience**

Larissa Mehlfelder was born in 1986. Ms. Mehlfelder graduated from The College of New Jersey with a Bachelor of Science in Finance and minor in Mathematics.

Ms. Mehlfelder joined the firm in 2009 and is currently a Managing Director, Principal, and Client Advisor and sits on the firm's Executive Committee.

Ms. Mehlfelder has held the designation of Certified Financial Planner (CFP®) since 2012. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

Ms. Mehlfelder has held the Chartered Alternative Investment Analyst (CAIA) designation since 2014. The CAIA Charter® is awarded by the CAIA Association and is granted upon successful completion of a rigorous two-level exam series, combined with relevant work experience. Earning the CAIA Charter is the gateway to becoming a member of the CAIA Association, a global network of alternative investment leaders, who have demonstrated a deep and thorough understanding of alternative investing.

### **Item 3 Disciplinary Information**

Ms. Mehlfelder has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Ms. Mehlfelder or Simon Quick Advisors, LLC

### **Item 4 Other Business Activities**

- A. Ms. Mehlfelder is an equity owner Principal of Simon Quick Advisors, LLC.
- B. Ms. Mehlfelder is not actively engaged in any investment-related or non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

As a Principal and equity owner of Simon Quick Advisors, Ms. Mehlfelder earns annual compensation from Simon Quick Advisors, LLC which is based, in part, on the number of new clients and assets under management introduced to Simon Quick Advisors, LLC. Accordingly, Ms. Mehlfelder has a conflict of interest when recommending that Simon Quick Advisors, LLC provide investment advisory services, because the recommendation could be made based on compensation to be received, rather than on a client or prospective client's best interests.

### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). The Registrant's Chief Compliance Officer, Steve Pisano is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment advisor representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Pisano at (973) 525-1000. **Additional information about Ms. Mehlfelder is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 1 Cover Page**

A.

**Thomas P. Morr**

Simon Quick Advisors, LLC

**Form ADV Part 2B - Brochure Supplement  
Dated March 27, 2024**

Contact: Steve Pisano, Chief Compliance Officer  
360 Mount Kemble Ave.  
Morristown, NJ 07960

B.

**This Brochure Supplement provides information about Thomas Morr that supplements the Simon Quick Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Steve Pisano, Chief Compliance Officer, if you did *not* receive Simon Quick Advisors, LLC's Brochure or if you have any questions about the contents of this supplement at (973) 525-1000 or [spisano@simonquickadvisors.com](mailto:spisano@simonquickadvisors.com).**

**Item 2 Education Background and Business Experience**

Thomas Morr was born in 1989. Mr. Morr graduated from Siena College in 2012, with a Bachelor of Arts degree majoring in History and minoring in Business.

Mr. Morr joined the firm in 2012 and is currently a Managing Partner and Head of Client Advisory. Mr. Morr also sits on the firm's Executive Committee.

Mr. Morr has held the designation of Certified Financial Planner (CFP®) since 2016. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

Mr. Morr has held the Chartered Alternative Investment Analyst (CAIA) designation since 2014. The CAIA Charter® is awarded by the CAIA Association and is granted upon successful completion of a rigorous two-level exam series, combined with relevant work experience. Earning the CAIA Charter is the gateway to becoming a member of the CAIA Association, a global network of alternative investment leaders, who have demonstrated a deep and thorough understanding of alternative investing.

### **Item 3 Disciplinary Information**

Mr. Morr has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Morr or Simon Quick Advisors, LLC.

### **Item 4 Other Business Activities**

- A. Mr. Morr is an equity owner Principal of Simon Quick Advisors, LLC.
- B. Mr. Morr is not actively engaged in any investment-related or non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

As a Principal and equity owner of Simon Quick Advisors, Mr. Morr earns annual compensation from Simon Quick Advisors, LLC which is based, in part, on the number of new clients and assets under management introduced to Simon Quick Advisors, LLC. Accordingly, Mr. Morr has a conflict of interest when recommending that Simon Quick Advisors, LLC provide investment advisory services, because the recommendation could be made based on compensation to be received, rather than on a client or prospective client's best interests.

### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). The Registrant's Chief Compliance Officer, Steve Pisano is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment advisor representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Pisano at (973) 525-1000. **Additional information about Mr. Morr is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 1 Cover Page**

A.

**Anthony J. Santoro**

Simon Quick Advisors, LLC

**Form ADV Part 2B - Brochure Supplement  
Dated March 27, 2024**

Contact: Steve Pisano, Chief Compliance Officer  
360 Mount Kemble Ave.  
Morristown, NJ 07960

B.

**This Brochure Supplement provides information about Anthony Santoro that supplements the Simon Quick Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Steve Pisano, Chief Compliance Officer, if you did *not* receive Simon Quick Advisors, LLC's Brochure or if you have any questions about the contents of this supplement at (973) 525-1000 or [spisano@simonquickadvisors.com](mailto:spisano@simonquickadvisors.com).**

**Item 2 Education Background and Business Experience**

Anthony Santoro was born in 1987. Mr. Santoro graduated from SUNY Albany in 2010 with a Bachelor of Arts in Sociology and from Albany Law School with a Juris Doctorate in 2013. Mr. Santoro joined the firm in August 2022 and is currently a Director, Client Advisor. Mr. Santoro is licensed to practice law in New York State; however, he does not provide legal advice in his current capacity with Simon Quick Advisors, LLC.

**Item 3 Disciplinary Information**

Mr. Santoro has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Santoro or Simon Quick Advisors, LLC.

**Item 4 Other Business Activities**

Mr. Santoro is not actively engaged in any investment-related or non-investment-related business or occupation for compensation.

## **Item 5 Additional Compensation**

As a Client Advisor, Mr. Santoro earns annual compensation from Simon Quick Advisors, LLC which is based, in part, on the number of new clients and assets under management introduced to Simon Quick Advisors, LLC. Accordingly, Mr. Santoro has a conflict of interest when recommending that Simon Quick Advisors, LLC provide investment advisory services, because the recommendation could be made based on compensation to be received, rather than on a client or prospective client's best interests.

## **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). The Registrant's Chief Compliance Officer, Steve Pisano is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment advisor representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Pisano at (973) 525-1000. **Additional information about Mr. Santoro is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 1 Cover Page**

A.

**Erin L. Slocum**

Simon Quick Advisors, LLC

**Form ADV Part 2B - Brochure Supplement  
Dated March 27, 2024**

Contact: Steve Pisano, Chief Compliance Officer  
360 Mount Kemble Ave.  
Morristown, NJ 07960

B.

**This Brochure Supplement provides information about Erin Slocum that supplements the Simon Quick Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Steve Pisano, Chief Compliance Officer, if you did *not* receive Simon Quick Advisors, LLC's Brochure or if you have any questions about the contents of this supplement at (973) 525-1000 or [spisano@simonquickadvisors.com](mailto:spisano@simonquickadvisors.com).**

**Item 2 Education Background and Business Experience**

Erin Slocum was born in 1986. Ms. Slocum graduated from The George Washington University with a Bachelor of Science in Economic.

Ms. Slocum joined the firm in April 2022 as a Vice President and Client Advisor. Before joining Simon Quick Advisors, LLC, from 2008 to 2022, Ms. Slocum was employed with BNY Mellon Wealth Management where she where she provided financial planning and investment management services to high-net-worth individuals and families in the U.S. and abroad.

Ms. Slocum has held the designation of Certified Financial Planner (CFP®) since 2017. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and



oversight by the CFP Board.

### **Item 3 Disciplinary Information**

Ms. Slocum has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Ms. Slocum or Simon Quick Advisors, LLC.

### **Item 4 Other Business Activities**

Ms. Slocum is not actively engaged in any investment-related or non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

As a Client Advisor, Ms. Slocum earns annual compensation from Simon Quick Advisors, LLC which is based, in part, on the number of new clients and assets under management introduced to Simon Quick Advisors, LLC. Accordingly, Ms. Slocum has a conflict of interest when recommending that Simon Quick Advisors, LLC provide investment advisory services, because the recommendation could be made based on compensation to be received, rather than on a client or prospective client's best interests.

### **Item 6 Supervision**

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## Item 1 Cover Page

A.

**Thomas C. Trynin**

Simon Quick Advisors, LLC

## **Form ADV Part 2B - Brochure Supplement Dated March 27, 2024**

Contact: Steve Pisano, Chief Compliance Officer  
360 Mount Kemble Ave.  
Morristown, NJ 07960

B.

**This Brochure Supplement provides information about Thomas Trynin that supplements the Simon Quick Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Steve Pisano, Chief Compliance Officer, if you did *not* receive Simon Quick Advisors, LLC's Brochure or if you have any questions about the contents of this supplement at (973) 525-1000 or [spisano@simonquickadvisors.com](mailto:spisano@simonquickadvisors.com).**

### **Item 2 Education Background and Business Experience**

Thomas Trynin was born in 1961. Mr. Trynin graduated from Yale University in 1983 with a Bachelor of Arts degree and the Wharton School of Business in 1990 with a Master of Business Administration degree.

Mr. Trynin joined the firm in April 2022 as a Partner when Simon Quick Advisors, LLC combined with Red Hook Management, LLC ("RHM") to form a single combined company. Before joining the firm Mr. Trynin served as a Portfolio Manager and Principal of RHM since January 2012 and served as President of RHM since January 2017.

### **Item 3 Disciplinary Information**

Mr. Trynin has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Trynin or Simon Quick Advisors, LLC.

#### **Item 4 Other Business Activities**

- A. Mr. Trynin is a Partner and equity owner of Simon Quick Advisors, LLC.
- B. Mr. Trynin is not actively engaged in any investment-related or non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

As a Partner and equity owner of Simon Quick Advisors, LLC, Mr. Trynin earns annual compensation from Simon Quick Advisors, LLC which is based, in part, on the number of new clients and assets under management introduced to Simon Quick Advisors, LLC. Accordingly, Mr. Trynin has a conflict of interest when recommending that Simon Quick Advisors, LLC provide investment advisory services, because the recommendation could be made based on compensation to be received, rather than on a client or prospective client's best interests.

#### **Item 6 Supervision**

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## Item 1 Cover Page

A.

**Anders P. Velischek**

Simon Quick Advisors, LLC

## **Form ADV Part 2B - Brochure Supplement Dated March 27, 2024**

Contact: Steve Pisano, Chief Compliance Officer  
360 Mount Kemble Ave.  
Morristown, NJ 07960

B.

**This Brochure Supplement provides information about Anders Velischek that supplements the Simon Quick Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Steve Pisano, Chief Compliance Officer, if you did *not* receive Simon Quick Advisors, LLC's Brochure or if you have any questions about the contents of this supplement at (973) 525-1000 or [spisano@simonquickadvisors.com](mailto:spisano@simonquickadvisors.com).**

### **Item 2 Education Background and Business Experience**

Anders Velischek was born in 1994. Mr. Velischek graduated from Bucknell University in 2016 with a Bachelor of Science in Business Administration degree in Accounting and Financial Management. Mr. Velischek joined the firm in 2016 and is currently a Vice President, Principal, and Client Advisor.

Mr. Velischek has held the designation of Certified Financial Planner (CFP®) since 2019. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

### **Item 3 Disciplinary Information**

Mr. Velischek has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Velischek or Simon Quick Advisors, LLC.

### **Item 4 Other Business Activities**

Mr. Velischek is not actively engaged in any investment-related or non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

As a Principal and equity owner of Simon Quick Advisors, Mr. Velischek earns annual compensation from Simon Quick Advisors, LLC which is based, in part, on the number of new clients and assets under management introduced to Simon Quick Advisors, LLC. Accordingly, Mr. Velischek has a conflict of interest when recommending that Simon Quick Advisors, LLC provide investment advisory services, because the recommendation could be made based on compensation to be received, rather than on a client or prospective client's best interests.

### **Item 6 Supervision**

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**Item 1 Cover Page**

A.

**Daniel B. Weitz**

Simon Quick Advisors, LLC

**Form ADV Part 2B - Brochure Supplement  
Dated March 27, 2024**

Contact: Steve Pisano, Chief Compliance Officer  
360 Mount Kemble Ave.  
Morristown, NJ 07960

B.

**This Brochure Supplement provides information about Daniel Weitz that supplements the Simon Quick Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Steve Pisano, Chief Compliance Officer, if you did *not* receive Simon Quick Advisors, LLC's Brochure or if you have any questions about the contents of this supplement at (973) 525-1000 or [spisano@simonquickadvisors.com](mailto:spisano@simonquickadvisors.com).**

**Item 2 Education Background and Business Experience**

Daniel Weitz was born in 1985. Mr. Weitz graduated from the University of Maryland in 2007 with a Bachelor of Science degree in Psychology and from Rutgers Business School in 2011 with a Master of Business Administration degree in Finance.

Mr. Weitz joined the firm in 2011 and is currently a Managing Director, Principal, and Client Advisor. Mr. Weitz also sits on the firm's Investment Committee. Prior to joining the firm, Mr. Weitz worked as a Portfolio Analyst at RegentAtlantic Capital, LLC.

Mr. Weitz has held the designation of Certified Financial Planner (CFP®) since 2013. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and

oversight by the CFP Board.

Mr. Weitz has held the Chartered Financial Analyst (CFA) designation since 2013. The CFA charter is a professional designation awarded by CFA Institute. To earn the CFA charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. In addition, CFA charter holders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

### **Item 3 Disciplinary Information**

Mr. Weitz has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Weitz or Simon Quick Advisors, LLC.

### **Item 4 Other Business Activities**

- A. Mr. Weitz is an equity owner Principal of Simon Quick Advisors, LLC.
- B. Mr. Weitz is not actively engaged in any investment-related or non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

As a Principal and equity owner of Simon Quick Advisors, Mr. Weitz earns annual compensation from Simon Quick Advisors, LLC which is based, in part, on the number of new clients and assets under management introduced to Simon Quick Advisors, LLC. Accordingly, Mr. Weitz has a conflict of interest when recommending that Simon Quick Advisors, LLC provide investment advisory services, because the recommendation could be made based on compensation to be received, rather than on a client or prospective client's best interests.

### **Item 6 Supervision**

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**Item 1 Cover Page**

A.

**Garrett M. Wells**

Simon Quick Advisors, LLC

**Form ADV Part 2B - Brochure Supplement  
Dated March 27, 2024**

Contact: Steve Pisano, Chief Compliance Officer  
360 Mount Kemble Ave.  
Morristown, NJ 07960

B.

**This Brochure Supplement provides information about Garrett Wells that supplements the Simon Quick Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact Steve Pisano, Chief Compliance Officer, if you did *not* receive Simon Quick Advisors, LLC's Brochure or if you have any questions about the contents of this supplement at (973) 525-1000 or [spisano@simonquickadvisors.com](mailto:spisano@simonquickadvisors.com).**

**Item 2 Education Background and Business Experience**

Garrett Wells was born in 1994. Mr. Wells graduated from the Steven Institute of Technology in 2017 with a Bachelor of Science degree in Business and Technology.

Mr. Wells joined the firm in 2017 and is currently a Vice President, Principal, and Client Advisor. Prior to joining the firm, Mr. Wells worked as Consultant for First Derivatives Inc.

Mr. Wells has held the designation of Certified Financial Planner (CFP®) since 2020. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelor's degree from an accredited university. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.



### **Item 3 Disciplinary Information**

Mr. Wells has not been involved in any legal or disciplinary events that would be material to a client's evaluation of Mr. Wells or Simon Quick Advisors, LLC.

### **Item 4 Other Business Activities**

Mr. Wells is not actively engaged in any investment-related or non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

As a Principal and equity owner of Simon Quick Advisors, Mr. Wells earns annual compensation from Simon Quick Advisors, LLC which is based, in part, on the number of new clients and assets under management introduced to Simon Quick Advisors, LLC. Accordingly, Mr. Wells has a conflict of interest when recommending that Simon Quick Advisors, LLC provide investment advisory services, because the recommendation could be made based on compensation to be received, rather than on a client or prospective client's best interests.

### **Item 6 Supervision**

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